

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

Algebraic Bethe ansatz for 19-vertex models with upper triangular K-matrices

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joint work with A. Lima-Santos, [arXiv:1406.5757](https://arxiv.org/abs/1406.5757)

Outline

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

1 Introduction: 19-vertex models

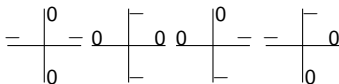
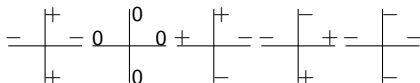
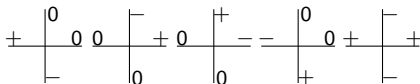
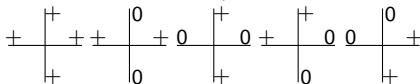
2 Bethe ansatz: upper-upper case

- 1st excited state
- 2nd excited state
- nth excited state

3 Conclusion

The models

- Three-state vertex models constrained by the ice rule (19 non-null Boltzmann weights):



- Allows one to study spin-1 Hamiltonians.

- Solution of the Yang-Baxter equation: Zamolodchikov-Fateev (ZF) and Izergin-Korepin (IK) models

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

R-matrix

- Solution of the Yang-Baxter equation: Zamolodchikov-Fateev (ZF) and Izergin-Korepin (IK) models

$$R(u) = \left(\begin{array}{ccc|ccc|ccc} a(u) & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & b(u) & 0 & c(u) & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & f(u) & 0 & d(u) & 0 & h(u) & 0 & 0 \\ \hline 0 & c(u) & 0 & b(u) & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \tilde{d}(u) & 0 & e(u) & 0 & d(u) & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & b(u) & 0 & c(u) & 0 \\ \hline 0 & 0 & \tilde{h}(u) & 0 & \tilde{d}(u) & 0 & f(u) & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & c(u) & 0 & b(u) & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & a(u) \end{array} \right)$$

The non-null entries are trigonometric functions.

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

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The non-null entries are trigonometric functions.

- Yang-Baxter allow one to construct:
 $t(u) = \text{Tr}_a [R_{a1}(u) \dots R_{aL}(u)]$ such that $[t(u), t(v)] = 0$.

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

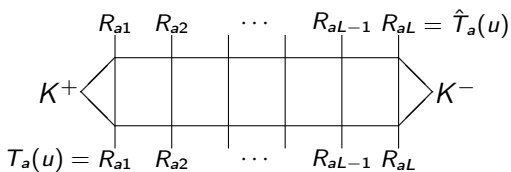
2nd excited
state

nth excited
state

Conclusion

Boundaries

■ Sklyanin 88



Introduction:
19-vertex
models

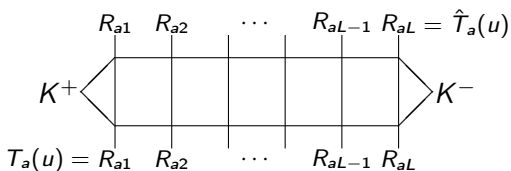
Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

Boundaries

■ Sklyanin 88



- Double-row monodromy: $U_a(u) = T_a(u)K^-(u)\hat{T}_a(u)$

Introduction:
19-vertex
models

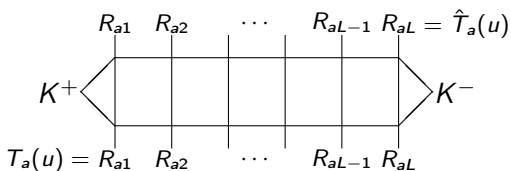
Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

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- Double-row monodromy: $U_a(u) = T_a(u)K^-(u)\hat{T}_a(u)$
- Double-row transfer matrix: $t(u) = \text{Tr}_a[K^+(u)T_a(u)K^-(u)\hat{T}_a(u)]$

Introduction:
19-vertex
models

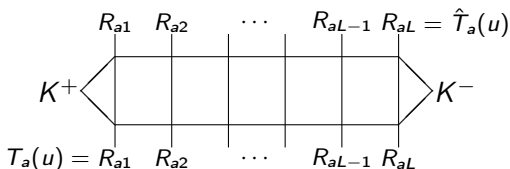
Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

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- Double-row transfer matrix: $t(u) = \text{Tr}_a[K^+(u)T_a(u)K^-(u)\hat{T}_a(u)]$
- Reflection equations have to take into account symmetries of the R -matrices (Mezincescu-Nepomechie 91),

$$R_{12}(u-v)K_1^-(u)R_{21}(u+v)K_2^-(v) = K_2^-(v)R_{12}(u+v)K_1^-(u)R_{21}(u-v)$$

$$\begin{aligned} & R_{12}(v-u)K_1^{+t_1}(u)M_1^{-1}R_{21}(-u-v-2\rho)M_1K_2^{+t_2}(v) \\ &= K_2^{+t_2}(v)M_1R_{12}(-u-v-2\rho)M_1^{-1}K_1^{+t_1}(u)R_{21}(v-u) \end{aligned}$$

- We have $[t(u), t(v)] = 0$.

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

K-matrices

- Question: How to diagonalize the transfer matrix $t(u)$?

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

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Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

K-matrices

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

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- It is a difficult problem in the case of non-diagonal K -matrices ($U(1)$ -symmetry is broken)
- Non-diagonal solutions of the reflection equation are known for a long time (for 19-vertex, Kim 94, Inami-Odake-Zhang 96, Fan-Hou-Shi-Yue 99, Lima-Santos 99)

K-matrices

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

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- Very recently, the spectrum of the XXX-s (Nepomechie 13 ($s, 1/2$), Cao-Cui-Yang-Shi-Wang 14 (s, s)) and IK model (Hao-Cao-Li-Yang-Shi-Wang 14) with non-diagonal boundaries have been obtained (ODBA: talks of Y. Wang and W.L. Yang).

K-matrices

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

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- Other methods, for instance the functional-YB (Galleas 08), q-Onsager (Baseilhac-Koizumi 07), separation of variables (Frahm-Seel-Wirth 08, Frahm-Grelick-Seel-Wirth 11, Niccoli 12, Faldella-Kitanine-Niccoli 13), are still not available to 19-vertex models.

K-matrices

■ 19-vertex models in the ABA framework:

K^+	K^-	6V	ZF	IK
diagonal	diagonal	Sklyanin 88	Kurak-Lima 04	Fan 97 Li-Shi-Yue 03 Kurak-Lima 04
diagonal	upper	Cao-Lin-Shi-Wang 03 Doikou 07 (XXZ-s)	Melo-Ribeiro-Martins (XXX-s) 05	$\subset$ PL 14
upper	upper	Belliard-Crampé -Ragoucy 13 (XXX) PL 13 (XXZ) António-Manojlović-Salom (XXX) 14	PL 14	PL 14
full	diagonal	Belliard-Crampé 13 (XXX)	-	-
lower	upper	Belliard 14 (XXZ)	-	-

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

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full	diagonal	Belliard-Crampé 13 (XXX)	-	-
lower	upper	Belliard 14 (XXZ)	-	-

- In the 6V-XXX case: the full-diagonal and lower-upper cases solve the general case, up to similarity transformations. To other models, this fact remains to be investigated.

Bethe ansatz

■ Representation for $U_a(u)$:

$$T_a(u) = \begin{pmatrix} T_{11}(u) & T_{12}(u) & T_{13}(u) \\ T_{21}(u) & T_{22}(u) & T_{23}(u) \\ T_{31}(u) & T_{31}(u) & T_{33}(u) \end{pmatrix}, \quad \hat{T}_a(u) = \begin{pmatrix} \hat{T}_{11}(u) & \hat{T}_{12}(u) & \hat{T}_{13}(u) \\ \hat{T}_{21}(u) & \hat{T}_{22}(u) & \hat{T}_{23}(u) \\ \hat{T}_{31}(u) & \hat{T}_{31}(u) & \hat{T}_{33}(u) \end{pmatrix}$$

$$U_a(u) = \begin{pmatrix} \mathcal{A}_1(u) & \mathcal{B}_1(u) & \mathcal{B}_2(u) \\ \mathcal{C}_1(u) & \mathcal{A}_2(u) & \mathcal{B}_3(u) \\ \mathcal{C}_2(u) & \mathcal{C}_3(u) & \mathcal{A}_3(u) \end{pmatrix}, \quad K^\pm(u) = \begin{pmatrix} k_{11}^\pm(u) & k_{12}^\pm(u) & k_{13}^\pm(u) \\ 0 & k_{22}^\pm(u) & k_{23}^\pm(u) \\ 0 & 0 & k_{33}^\pm(u) \end{pmatrix}$$

■ For convenience, one introduce

$$\mathcal{D}_1(u) = \mathcal{A}_1(u), \quad \mathcal{D}_2(u) = \mathcal{A}_2(u) - f_1(u)\mathcal{A}_1(u)$$

$$\mathcal{D}_3(u) = \mathcal{A}_3(u) - f_2(u)\mathcal{A}_1 - f_3(u)\mathcal{D}_2(u)$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

Bethe ansatz

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

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■ Transfer matrix:

$$t(u) = \underbrace{\omega_1(u)\mathcal{D}_1(u) + \omega_2(u)\mathcal{D}_2(u) + \omega_3(u)\mathcal{D}_3(u)}_{\mathbf{t}_d(u): \text{'diagonal' term}} + \underbrace{k_{12}^+(u)\mathcal{C}_1(u) + k_{13}^+(u)\mathcal{C}_2(u) + k_{23}^+(u)\mathcal{C}_3(u)}_{\mathbf{t}_u(u): \text{annihilation term}}$$

Bethe ansatz

- Reference state:

$$\Psi_0 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}_{(1)} \otimes \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}_{(2)} \otimes \cdots \otimes \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}_{(L)},$$

- Since:

$$\mathcal{D}_j(u)\Psi_0 = \Delta_j(u)\Psi_0 \quad \text{for } j = 1, 2, 3$$

and

$$\mathcal{C}_j(u)\Psi_0 = 0 \quad \text{for } j = 1, 2, 3,$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

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and

$$\mathcal{C}_j(u)\Psi_0 = 0 \quad \text{for } j = 1, 2, 3,$$

- Ψ_0 is an eigenvector of $t(u)$:

$$t(u)\Psi_0 = \Lambda_0(u)\Psi_0$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

Bethe ansatz

- Eigenvectors of t_d (Fan 97, Li-Shi-Yue 03, Kurak-Lima 04):

$$\Psi_1(u_1) = \mathcal{B}_1(u_1)\Psi_0,$$

$$\Psi_2(u_1, u_2) = \mathcal{B}_1(u_1)\mathcal{B}_1(u_2)\Psi_0 - \Gamma_2^{(2)}(u_1, u_2)\mathcal{B}_2(u_1)\Psi_0$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

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- Tarasov-like recurrence relation:

$$\Psi_n(u_1, \dots, u_n) = \mathcal{B}_1(u_1)\Psi_{n-1}(u_2, \dots, u_n)$$

$$- \mathcal{B}_2(u_1) \sum_{i=2}^n \Gamma_i^{(n)}(u_1, \dots, u_n) \Psi_{n-2}(u_2, \dots, \hat{u}_i, \dots, u_n)$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

Bethe ansatz

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- Tarasov-like recurrence relation:

$$\begin{aligned} \Psi_n(u_1, \dots, u_n) &= \mathcal{B}_1(u_1)\Psi_{n-1}(u_2, \dots, u_n) \\ &- \mathcal{B}_2(u_1) \sum_{i=2}^n \Gamma_i^{(n)}(u_1, \dots, u_n) \Psi_{n-2}(u_2, \dots, \hat{u}_i, \dots, u_n) \end{aligned}$$

- We have:

$$\begin{aligned} t_d(u)\Psi_n(u_1, \dots, u_n) &= \Lambda_n(u, u_1, \dots, u_n)\Psi_n(u_1, \dots, u_n) \\ &+ \mathcal{B}_1(u) \sum_{j=1}^n \mathcal{F}_j^{(n)}(u, u_1, \dots, u_n) \Psi_{n-1}(u_1, \dots, \hat{u}_j, \dots, u_n) \\ &+ \mathcal{B}_3(u) \sum_{j=1}^n \mathcal{G}_j^{(n)}(u, u_1, \dots, u_n) \Psi_{n-1}(u_1, \dots, \hat{u}_j, \dots, u_n) \end{aligned}$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

Bethe ansatz

- Due to the term $t_u(u)$ in the transfer matrix expression, we see that Ψ_n cannot be eigenstate of $t(u)$.

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

Bethe ansatz

- Due to the term $t_u(u)$ in the transfer matrix expression, we see that Ψ_n cannot be eigenstate of $t(u)$.
- To compensate the action of the $t_u(u)$, we consider a superposition of the “diagonal” Bethe states:

$$\Phi_n = \sum_{k=0}^n g^{(k)} \Psi_k$$

(structure first proposed for the XXX case by Belliard-Crampé-Ragoucy 13)

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

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- We implemented this ansatz for the trigonometric six vertex model, presenting a constructive way to fix the g -coefficients: we impose the vanishing of extra unwanted terms in the ABA analysis.

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

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- We implemented this ansatz for the trigonometric six vertex model, presenting a constructive way to fix the g -coefficients: we impose the vanishing of extra unwanted terms in the ABA analysis.
- In this work, we show that this is also possible for 19-vertex models.

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

Bethe ansatz

- Besides $t_d(u)\Psi_n$, we will also need $t_u(u)\Psi_n$.

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

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- Besides $t_d(u)\Psi_n$, we will also need $t_u(u)\Psi_n$.

$$t_u(u)\Psi_n(u_1, \dots, u_n) = \sum_{j=1}^n \mathcal{T}_j^{(n)}(u, u_1, \dots, u_n) \Psi_{n-1}(u_1, \dots, \hat{u}_j, \dots, u_n)$$

$$+ \sum_{j < k}^n \mathcal{U}_{jk}^{(n)}(u, u_1, \dots, u_n) \Psi_{n-2}(u_1, \dots, \hat{u}_j, \dots, \hat{u}_k, \dots, u_n)$$

$$+ \mathcal{B}_1(u) \sum_{j < k}^n \mathcal{V}_{jk}^{(n)}(u, u_1, \dots, u_n) \Psi_{n-2}(u_1, \dots, \hat{u}_j, \dots, \hat{u}_k, \dots, u_n)$$

$$+ \mathcal{B}_3(u) \sum_{j < k}^n \mathcal{W}_{jk}^{(n)}(u, u_1, \dots, u_n) \Psi_{n-2}(u_1, \dots, \hat{u}_j, \dots, \hat{u}_k, \dots, u_n)$$

$$+ \mathcal{B}_1(u) \sum_{j < k < \ell}^n \mathcal{X}_{jkl}^{(n)}(u, u_1, \dots, u_n) \Psi_{n-3}(u_1, \dots, \hat{u}_j, \dots, \hat{u}_k, \dots, \hat{u}_\ell, \dots, u_n)$$

$$+ \mathcal{B}_3(u) \sum_{j < k < \ell}^n \mathcal{Y}_{jkl}^{(n)}(u, u_1, \dots, u_n) \Psi_{n-3}(u_1, \dots, \hat{u}_j, \dots, \hat{u}_k, \dots, \hat{u}_\ell, \dots, u_n)$$

$$+ \mathcal{B}_2(u) \sum_{j < k < \ell}^n \mathcal{Z}_{jkl}^{(n)}(u, u_1, \dots, u_n) \Psi_{n-3}(u_1, \dots, \hat{u}_j, \dots, \hat{u}_k, \dots, \hat{u}_\ell, \dots, u_n)$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

Bethe ansatz - 1st excited state

- We can now handle each excited state using $t_d(u)\Psi_n$ and $t_u(u)\Psi_n$.

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

**1st excited
state**

2nd excited
state

nth excited
state

Conclusion

Bethe ansatz - 1st excited state

- We can now handle each excited state using $t_d(u)\Psi_n$ and $t_u(u)\Psi_n$.
- For the first excited state, we have:

$$\Phi_1(u_1) = \Psi_1(u_1) + g(u_1)\Psi_0$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

**1st excited
state**

2nd excited
state

nth excited
state

Conclusion

Bethe ansatz - 1st excited state

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- Acting with $t(u)$ on $\Phi_1(u)$,
$$t(u)\Phi_1(u_1) = t_d(u)\Psi_1(u_1) + g(u_1)t_d(u)\Psi_0 + t_u(u)\Psi_1(u_1)$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

**1st excited
state**
2nd excited
state
nth excited
state

Conclusion

Bethe ansatz - 1st excited state

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

**1st excited
state**
2nd excited
state
nth excited
state

Conclusion

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$$t(u)\Phi_1(u_1) = t_d(u)\Psi_1(u_1) + g(u_1)t_d(u)\Psi_0 + t_u(u)\Psi_1(u_1)$$

- Off-shell structure

$$\begin{aligned} t(u)\Phi_1(u_1) &= \Lambda_1(u, u_1)\Phi_1(u_1) \\ &+ \mathcal{F}_1^{(1)}(u, u_1)\mathcal{B}_1(u)\Psi_0 + \mathcal{G}_1^{(1)}(u, u_1)\mathcal{B}_3(u)\Psi_0 \\ &+ \left\{ g(u_1)[\Lambda_0(u) - \Lambda_1(u, u_1)] + \mathcal{T}_1^{(1)}(u, u_1) \right\} \Psi_0 \end{aligned}$$

Bethe ansatz - 1st excited state

- Usual unwanted terms: Bethe equation

$$\mathcal{F}_1^{(1)}(u, u_1) = \mathcal{G}_1^{(1)}(u, u_1) = 0 \Leftrightarrow \frac{\Delta_1(u_1)}{\Delta_2(u_1)} = -\Theta(u_1)$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

**1st excited
state**

2nd excited
state

nth excited
state

Conclusion

Bethe ansatz - 1st excited state

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- Extra unwanted term: fix g

$$g(u_1) [\Lambda_1(u, u_1) - \Lambda_0(u)] = \mathcal{T}_1^{(1)}(u, u_1)$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

**1st excited
state**

2nd excited
state

nth excited
state

Conclusion

Bethe ansatz - 1st excited state

- Usual unwanted terms: Bethe equation

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- Extra unwanted term: fix g

$$g(u_1) [\Lambda_1(u, u_1) - \Lambda_0(u)] = \mathcal{T}_1^{(1)}(u, u_1)$$

- Use Bethe equation:

$$g(u_1) = \beta_+ \left[\frac{\sinh(2u_1 + \eta)}{\sinh(u_1 + \frac{\eta}{2} - \xi_+)} \right] \Delta_2(u_1)$$

in the case of the ZF model and

$$g(u_1) = \beta_+ \left[\frac{\sinh(2u_1 + \eta)}{\sinh(u_1 + \frac{3\eta}{4} - \epsilon \frac{i\pi}{4})} \right] \Delta_2(u_1)$$

for the IK model.

Bethe ansatz - 2nd excited state

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

**2nd excited
state**

nth excited
state

Conclusion

$$\begin{aligned}\Phi_2(u_1, u_2) = & \Psi_2(u_1, u_2) \\ & + g_2^{(1)}(u_1, u_2)\Psi_1(u_1) + g_1^{(1)}(u_1, u_2)\Psi_1(u_2) \\ & + g_{12}^{(0)}(u_1, u_2)\Psi_0\end{aligned}$$

Bethe - 2nd excited state

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

$$\begin{aligned}
 & t(u)\Phi_2(u_1, u_2) = \Lambda_2(u, u_1, u_2)\Phi_2(u_1, u_2) \\
 + & \mathcal{F}_1^{(2)}(u, u_1, u_2)\mathcal{B}_1(u)\Psi_1(u_2) + \mathcal{F}_2^{(2)}(u, u_1, u_2)\mathcal{B}_1(u)\Psi_1(u_1) \\
 + & \mathcal{G}_1^{(2)}(u, u_1, u_2)\mathcal{B}_3(u)\Psi_1(u_2) + \mathcal{G}_2^{(2)}(u, u_1, u_2)\mathcal{B}_3(u)\Psi_1(u_1) \\
 + & \mathcal{H}_{12}^{(2)}(u, u_1, u_2)\mathcal{B}_2(u)\Psi_0 \\
 + & \left\{ g_2^{(1)}(u_1, u_2)\mathcal{F}_1^{(1)}(u, u_1) + g_1^{(1)}(u_1, u_2)\mathcal{F}_1^{(1)}(u, u_2) + \mathcal{V}_{12}^{(2)}(u, u_1, u_2) \right\} \mathcal{B}_1(u)\Psi_0 \\
 + & \left\{ g_2^{(1)}(u_1, u_2)\mathcal{G}_1^{(1)}(u, u_1) + g_1^{(1)}(u_1, u_2)\mathcal{G}_1^{(1)}(u, u_2) + \mathcal{W}_{12}^{(2)}(u, u_1, u_2) \right\} \mathcal{B}_3(u)\Psi_0 \\
 + & \left\{ g_1^{(1)}(u_1, u_2) [\Lambda_1(u, u_2) - \Lambda_2(u, u_1, u_2)] + \mathcal{T}_1^{(2)}(u, u_1, u_2) \right\} \Psi_1(u_2) \\
 + & \left\{ g_2^{(1)}(u_1, u_2) [\Lambda_1(u, u_1) - \Lambda_2(u, u_1, u_2)] + \mathcal{T}_2^{(2)}(u, u_1, u_2) \right\} \Psi_1(u_1) \\
 + & \left\{ g_{12}^{(0)}(u_1, u_2) [\Lambda_0(u) - \Lambda_2(u, u_1, u_2)] \right\} \\
 + & g_1^{(1)}(u_1, u_2)\mathcal{T}_1^{(1)}(u, u_2) + g_2^{(1)}(u_1, u_2)\mathcal{T}_1^{(1)}(u, u_1) + \mathcal{U}_{12}^{(2)}(u, u_1, u_2) \Psi_0
 \end{aligned}$$

Bethe - 2nd excited state

■ Bethe equations:

$$\frac{\Delta_1(u_j)}{\Delta_2(u_j)} = -\Theta(u_j) \prod_{k=1, k \neq j}^2 \frac{a_{21}(u_j, u_k)}{a_{11}(u_j, u_k)}.$$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

**2nd excited
state**

nth excited
state

Conclusion

Bethe - 2nd excited state

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

- Bethe equations:

$$\frac{\Delta_1(u_j)}{\Delta_2(u_j)} = -\Theta(u_j) \prod_{k=1, k \neq j}^2 \frac{a_{21}(u_j, u_k)}{a_{11}(u_j, u_k)}.$$

- Coefficients:

$$\begin{aligned} g_1^{(1)}(u_1, u_2) &= g(u_1) a_{21}(u_1, u_2), \\ g_2^{(1)}(u_1, u_2) &= g(u_2) a_{21}(u_2, u_1) \Omega(u_2, u_1), \\ g_{12}^{(0)}(u_1, u_2) &= g(u_1) g(u_2) s(u_1, u_2) \end{aligned}$$

Bethe - 2nd excited state

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

$$s(u_1, u_2) = \frac{\sinh(u_1 + u_2) \sinh(u_1 - u_2 - \eta) \sinh(u_1 + u_2 + \frac{3\eta}{2})}{\sinh(u_1 - u_2 - \frac{\eta}{2}) \sinh(u_1 + u_2 + \frac{\eta}{2})^2}$$

for the ZF model and,

$$\begin{aligned} s(u_1, u_2) &= \frac{\cosh(u_1 + u_2 + \frac{\eta}{2}) \sinh(u_1 + u_2 + 2\eta)}{\sinh(u_1 + u_2) \cosh(u_1 - u_2 + \frac{\eta}{2}) \cosh(u_1 + u_2 + \frac{3\eta}{2})^2} \\ &\times \left[\cosh\left(u_1 + \frac{\eta}{4} - i\epsilon\frac{\pi}{4}\right) \cosh\left(u_1 + \frac{3\eta}{4} + i\epsilon\frac{\pi}{4}\right) \right. \\ &\quad \left. + \cosh\left(u_2 - \frac{\eta}{4} + i\epsilon\frac{\pi}{4}\right) \cosh\left(u_2 + \frac{5\eta}{4} - i\epsilon\frac{\pi}{4}\right) \right] \end{aligned}$$

for the IK solution.

Bethe - general excited state

- Eigenstates:

$$\Phi_n(u_1, \dots, u_n) = \Psi_n(u_1, \dots, u_n) + \sum_{k=0}^{n-1} \sum_{\ell_1 < \dots < \ell_{n-k}=1}^n g_{\ell_1, \dots, \ell_{n-k}}^{(k)}(u_1, \dots, u_n) \Psi_k(u_1, \dots, \hat{u}_{\ell_1}, \dots, \hat{u}_{\ell_{n-k}}, \dots, u_n)$$

- g -functions:

$$g_{\ell_1, \dots, \ell_{n-k}}^{(k)}(u_1, \dots, u_n) = \prod_{m \in \bar{\ell}} g(u_m) \prod_{m' \in \bar{\ell}, m' < m} s(u_{m'}, u_m) \prod_{m''=1, m'' \notin \bar{\ell}}^n a_{21}(u_m, u_{m''}) \tilde{\Omega}_m$$

with $\bar{\ell} = \{\ell_1, \dots, \ell_{n-k}\}$ and

$$\tilde{\Omega}_{m, m''} = \begin{cases} \Omega(u_m, u_{m''}), & \text{if } m > m'' \\ 1, & \text{otherwise.} \end{cases}$$

- Eigenvalues:

$$\Lambda_n(u, u_1, \dots, u_n) = \sum_{\alpha=1}^3 \omega_{\alpha}(u) \Delta_{\alpha}(u) \prod_{j=1}^n a_{\alpha 1}(u, u_j)$$

- Bethe equations:

$$\frac{\Delta_1(u_j)}{\Delta_2(u_j)} = -\Theta(u_j) \prod_{k=1, k \neq j}^n \frac{a_{21}(u_j, u_k)}{a_{11}(u_j, u_k)}$$

for $j = 1, \dots, n$

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state

2nd excited
state

nth excited
state

Conclusion

Conclusion

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

- Upper-triangular boundaries: we still have a simple reference state and, thus, we can execute the ABA.
- Same spectrum and Bethe equations, eigenvectors are a superposition of auxiliary Bethe vectors.
- More general K -matrices, with constrained boundary parameters can, in principle, be studied with our results.
- Concerning 19-vertex and other higher rank models, the ABA is still a powerful method to construct eigenvectors of vertex models with non-diagonal boundaries.

Open problems

Introduction:
19-vertex
models

Bethe
ansatz:
upper-upper
case

1st excited
state
2nd excited
state
nth excited
state

Conclusion

- Upper-upper case:
 - Investigate simpler off-shell Bethe vectors;
 - Gaudin magnet: spectrum and eigenvectors, connection with KZ-equations;
 - Beyond the spectral level: scalar products (still an open problem even for the periodic case - SOV?);
 - Other 19- and higher rank vertex models.
- General case:
 - Gauge-similarity transformations for 19-vertex models. More general reflection matrices with constrained boundary parameters.
 - Investigate if the full-diagonal and lower-upper case can solve the general integrable boundary parameters case.